Additionally, the amino acid valine was inadvertently inserted (i.e., a typographical error) at position 341 of SEQ ID NO:2 in applicants' previous Preliminary Amendments (filed 07 October 2999 and 03 January 2000) made in response to an invitation to correct defects in the originally-filed Sequence Listing for the underlying U. S. parent application (Serial No. 09/234,208). This inadvertent insertion was perpetuated in the present CIP filing.

Accordingly, applicants now delete this valine residue, resulting in a 419 amino acid sequence for SEQ ID NO:2 as it was listed in applicants' original Sequence Listing for the underlying U. S. parent application (Serial No. 09/234,208). Support for this amendment is found in the originally-filed Sequence Listing of the parent, and throughout the current and parent specification and claims, which refer to an amino acid sequence (for SEQ ID NO:2) of up to about 419 amino acids.

The present inventor listing conforms to the true inventors as reflected in our Petition to Correct Inventorship which will be submitted under separate cover.

Applicants assert that the presently submitted Sequence Listing not only conforms with that of the underlying U. S. parent application (Serial No. 09/234,208), but also with the requirements of C.F.R. 1.821-1.825, and brings applicants' current specification into conformity with the presently-submitted Sequence Listing. No new matter has been added.

Applicants, in concert with this Preliminary Amendment, have responded to the above-identified NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES.

Respectfully submitted,

Barry L. Davison

Attorney for Applicants Registration No. 47,309

Davis Wright Tremaine LLP 2600 Century Square 1501 Fourth Avenue Seattle, WA 98101-1688 Tel 206-628-7621 Fax 206-628-7699

SEQUENCE LISTING

GENERAL INFORMATION:

(i) APPLICANTS: Clinton, Gail M., Adam Evans and William D. Henner

- (ii) TITLE OF INVENTION: HER-2 BINDING ANTAGONISTS
- 10 (iii) NUMBER OF SEQUENCES: 10
 - (iv) CORRESPONDENCE ADDRESS:
 - (A) ADDRESSEE: DAVIS WRIGHT TREMAINE
 - (B) STREET: 1501 Fourth Avenue, 2600 Century Square
 - (C) CITY: Seattle
 - (D) STATE: Washington
 - (E) COUNTRY: U.S.A.
 - (F) ZIP: 98101
- 20 (v) COMPUTER READABLE FORM:
 - (A) MEDIUM TYPE: Floppy disk
 - (B) COMPUTER: PC compatible
 - (C) OPERATING SYSTEM: Windows 95
 - (D) SOFTWARE: Word
 - (vi) CURRENT APPLICATION DATA:
 - (A) APPLICATION NUMBER: 09/506,079
 - (B) FILING DATE: 16 February 2000
 - (C) CLASSIFICATION;
 - (viii) ATTORNEY/AGENT INFORMATION:
 - (A) NAME: Davison, Barry L.
 - (B) REGISTRATION NUMBER: 47,309
 - (C) REFERENCE/DOCKET NUMBER: 49321-16
 - (ix) TELECOMMUNICATION INFORMATION:
 - (A) TELEPHONE: 206 628 7621
 - (B) TELEFAX: 206 628 7699
 - (2) INFORMATION FOR SEQ ID NO:1:
 - (i) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH: 79
 - (B) TYPE: amino acid
 - (C) STRANDEDNESS: single
 - (D) TOPOLOGY: unknown

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	<pre>(ii) MOLECULE TYPE: HER-2 ECD antagonist (xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:</pre>															
5	Gly	Xaa	His	Ser	Xaa 5	Xaa	Pro	Arg	Pro	Ala 10	Ala	Val	Pro	Val	Pro 15	Xaa
	Arg	Xaa	Gln	Pro 20	Xaa	Pro	Ala	His	Pro 25	Val	Leu	Ser	Phe	Le⁄u 20	Arg	Pro
	Ser	Trp	Asp 35		Val	Ser	Ala	Phe 40		Ser	Leu	Pro	Leu/	Ala	Pro	Leu
10	Ser	Pro 50		Ser	Val	Xaa	Ile 55		Pro	Val	Ser	Val	Gly	Arg	Gly	Xaa
	Asp 65		Asp	Ala	His	Val 70		Val	Xaa	Leu	Ser	Arg	Tyr	Glu	Gly	
15	(0)				E0D	670										
	(2)	INF	ORMA'.	LION	FOR	SEQ	ID 1	NO:2	:		,					
20		(i)	(<i>I</i>	A) LI 3) T	ENGTI	H: 43 amir	no a	cid	/							
	(C) STRANDEDNESS: single (D) TOPOLOGY: unknown (ii) MOLECULE TYPE: polypeptide															
25		-					IPTI			ID N	0:2:					
23	Met	Glu	Leu	Ala	Ala 5	Leu	Cys	Arg	Trp	Gly 10	Leu	Leu	Leu	Ala	Leu 15	Leu
	Pro	Pro	Gly	Ala 20	Ala	Ser	Thr	Gln	Val 25	Cys	Thr	Gly	Thr	Asp 30	Cys	Lys
30	Leu	Arg	Leu 35	Pro	Ala	Ser	Pro	Glu 40	Thr	His	Ĺeu	Asp	Met 45	Leu	Arg	His
	Leu	Tyr 50	Gln	Gly	Cys	Øln	Val 55	Val	Gln	Gly	Asn	Leu 60	Glu	Leu	Thr	Tyr
35	Leu 65	Pro	Thr	Asn	Ala	Ser 70	Leu	Ser	Phe	Leu	Gln 75	Asp	Ile	Gln	Glu	Val 80
	Gln	Gly	Tyr	Val	Leu 85	Cys	Ala	His	Asn	Gln 90	Val	Arg	Gln	Val	Pro 95	Leu
	Gln	Arg	Leu	Arg 100	Ile	Val	Arg	Gly	Thr 105	Gln	Leu	Phe	Glu	Asp 110	Asn	Tyr
40	Ala	Leu	Ala 115	/Val	Leu	Asp	Asn	Gly 120	Asp	Pro	Leu	Agn	Agn 125	Thr	Thr	Pro
	Val	Thr 130	GХу	Ala	Ser	Pro	Gly 135	Gly	Leu	Arg	Glu	Leu 140	Gln	Leu	Arg	Ser
45	Leu 145	Thr	Glu	Cys	Leu	Lys 150	Gly	Gly	Val	Leu	Ile 155	Gln	Arg	Asn	Pro	Gln 160

Leu Lys Tyr Gln Asp Thr Ile Leu Trp Lys Asp Ile Phe His Lys Asn

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Asn Gln Leu Ala Leu Thr Leu Ile Asp Thr Asn Arg Ser Arg Ala Cyş
                                                         190
                                     185
    His Pro Cys Ser Pro Cys Cys Lys Gly Ser Arg Cys Trp Gly Glu Ser
                                200
    Ser Glu Asp Cys Gln Ser Leu Thr Arg Thr Val Cys Ala Gly Gly Cys
5
                                                 220
                            215
    Ala Arg Cys Lys Gly Pro Leu Pro Thr Asp Cys Cys His Glu/Gln Cys
                        230
                                             235
    Ala Ala Gly Cys Thr Gly Pro Lys His Ser Asp Cys Leu Ala Cys Leu
10
                    245
                                         250
    His Phe Asn His Ser Gly Ile Cys Glu Leu His Cys Pro/Ala Leu Val
                                     265
    Thr Tyr Asn Thr Asp Thr Phe Glu Ser Cys Pro Asn 🗫 Glu Gly Arg
                                 280
            275
15
    Tyr Thr Phe Gly Ala Ser Cys Val Thr Ala Cys Pr∮ Tyr Asn Lys Leu
                            295
    Ser Thr Asp Val Gly Ser Cys Thr Leu Val Cys Pro Leu His Asn Gln
                        310
    Glu Val Thr Ala Glu Asp Gly Thr Gln Arg Cys Glu Lys Cys Ser Lys
20
                                         330
                    325
    Pro Cys Ala Arg Gly Xaa His Ser Xaa Xaa/ Pro Arg Pro Ala Ala Val
                340
                                     345
                                                         350
    Pro Val Pro Xaa Arg Xaa Gln Pro Xaa Fro Ala His Pro Val Leu Ser
                                 360
                                                     365
    Phe Leu Arg Pro Ser Trp Asp Xaa Va/1 Ser Ala Phe Tyr Ser Leu Pro
25
                             375
    Leu Ala Pro Leu Asp Pro Thr Ser/Val Xaa Ile Ser Pro Val Ser Val
                                             395
    385
                        390
    Gly Arg Gly Xaa Asp Pro Asp Ala His Val Ala Val Xaa Leu Ser Arg
30
                                         410
                    405
    Tyr Glu Gly
```

(2) INFORMATION FOR SEQ/ID NO:3:

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- (i) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH: 19
 - (B) TYPE: nucleic acid
 - (C) STRANDEDNESS: single

(D) ŢŐPOLOGY: unknown

- (ii) MOLECULE TYPE: oligonucleotide
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:3:

TGAGCACCÁT GGAGCTGGC 19

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(2) INFORMATION FOR SEQ ID NO:4:

	(i) SEQUENCE CHARACTERISTICS:
1	(A) LENGTH: 22
•	(B) TYPE: nucleic acid
5	(C) STRANDEDNESS: single
	(D) TOPOLOGY: unknown
	(ii) MOLECULE TYPE: oligonucleotide
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:4:
10	
10	TCCGGCAGAA ATGCCAGGCT CC 22
	(2) INFORMATION FOR SEQ ID NO:5:
	(i) SEQUENCE CHARACTERISTICS:
15	(A) LENGTH: 22
	(B) TYPE: nucleic acid
	(C) STRANDEDNESS: single
	(D) TOPOLOGY: unknown
	(ii) MOLECULE TYPE: oligonucleotide
20	(xi) SEQUENCE DESCRIPTION: SEQ ID/NO:5:
	AACACAGCGG TGTGAGAAGT GC 22
	. /
25	(2) INFORMATION FOR SEQ ID NO:6/
	(i) SEQUENCE CHARACTERISTICS:
	(A) LENGTH: 21
	(B) TYPE: nucleic acid
30	(C) STRANDEDNESS: single
30	(D) TOPOLOGY: unknown
	(ii) MOLECULE TYPE; oligonucleotide
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:6:
	(XI) BEQUENCE BESCRIPTION: BEQ IS NO. 0.
35	ATACCGGGAC AGGTCAACAG C 21
	(2) INFORMATION FOR SEQ ID NO:7:
	(i) SEQUENCE CHARACTERISTICS:
40	(A) LENGTH: 20
	(B) TYPE: nucleic acid
	(\mathscr{C}) STRANDEDNESS: single
	D) TOPOLOGY: unknown
	(ii) MOLECULE TYPE: oligonucleotide
45	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:7:
	TCTGGGTACC CACTCACTGC 20

	(2)	INFC	RMA'I	TON	FOR	SEQ	ID I	NO:8	;							
		(i)	SEÇ	QUENC	CE CE	IARA(CTER	STIC	CS:							
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	(2)	INFO	RMAT	CION	FOR	SEQ	ID/I	NO:10):							
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		CGC	,													90
	Xaa	Arg	Xaa	GIn		хаа	Pro	Ala	His		Val	Leu	Ser	Pne		
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	arg	Pro	ser	ırp	_	лаа	vaı	ser	ATG		TÀL	ser	ьeu	PLO		
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00	.1 /	GCC	CCC	CTC	AGC	CCT	ACA	AGT	GTC	CST	ATA	TCC	CCT	GTC	AGT	FTG	180
Jan	Mag	Ala	Pro	Leu	Ser	Pro	Thr	Ser	Val	Xaa	Ile	Ser	Pro	Val	Ser/	'Val	
						50					55					60	
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•		GGG	AGG	GGC	CYG	GAC	CCT	GAT	GCT	CAT	GTG	GCT	GTT.	SAC	CTG	TCC	225
		Gly	Arg	Gly	Xaa	Asp	Pro	Asp	Ala	His	Val	Ala	Val	X <i>a</i> /a	Leu	Ser	
						65					70					75	
	10	CGG	TAT	GAA	GGC	TGA											240
		Arg	Tyr	Glu	Gly												